

NON-SURGICAL REDUCTION IN ANTERIOR SAGITTAL BALANCE SUBLUXATION AND IMPROVEMENT IN OVERALL POSTURE IN A GERIATRIC SUFFERING FROM LOW BACK PAIN AND SCIATICA: A CBP® CASE REPORT

Jason W. Haas, DC¹, Paul A. Oakley, DC, MSc², Deed E. Harrison, DC³

ABSTRACT

Objective: To discuss dramatic reduction of severe anterior sagittal balance and overall hundred posture in an elderly woman with chronic low back pain and sciatica.

Clinical Features: An 87-year old female had low back pain and sciatica for 30 years. She had poor posture, limited flexibility and many positive orthopedic tests. Radiography quantified the gross anterior sagittal balance and spinal subluxation.

Intervention and Outcome: Chiropractic BioPhysics® methods included spinal extension traction, extension exercises, and paraspinal muscle stimulation to improve posture. After 24 treatment sessions over 8 weeks, she achieved a dramatic reduction of symptoms, improvements in flexibility and orthopedic testing. There was also an approximate 110mm reduction in anterior sagittal balance as well as improvements in other spinal parameters.

Conclusions: This case suggests that gross spinal deformities can be reduced by non-surgical methods, and that the improvement in gross deformity correlates with reduction in chronic symptom burden. Although there is a substantial evidence base for the use of Chiropractic BioPhysics methods, more research is needed for its use on patients having severe spinal deformities. (*J Contemporary Chiropr* 2020;3:45-50)

Key Indexing Terms: Adult Spinal Deformity; Thoraco-Lumbar Deformity; Low Back Pain

¹ Private Practice, Windsor, CO, USA

² Private Practice, Newmarket, ON, Canada

³ CBP NonProfit, Inc., Eagle, ID, USA

INTRODUCTION

Human spinal posture is fundamental to normal function as a bipedal being. (1,2) Recent research found that altered spine alignment/postural subluxation, referred to as adult spinal deformity (ASD) is a global epidemic with serious and deleterious consequences for patient health-related quality of life (HRQOL). (3) In fact, Pellise and the European Spine Study Group determined that HRQOL was worse for patients suffering from ASD, including having an anterior sagittal balance of greater than 50mm, than from the chronic health conditions of self-reported arthritis, congestive heart failure, diabetes, and chronic lung disease. (3)

Anterior sagittal balance by anterior thoracic posture causes great increases in physiologic disc loading and corresponding increases in extensor muscle loads required to maintain upright static equilibrium. Extensor muscle loads have been shown to increase almost 5 times normal and to correspond to a marked increase in compressive and shear intervertebral disc loads in the thoracolumbar spine. (4) As stated by Harrison *et al.* "This posture is common in lumbar spine disorders and could contribute to lumbar disc pathology, progression of L5-S1 spondylolisthesis deformities, and poor outcomes after lumbar spine surgery." (4) Thus, successful methods to reduce anterior thoracic posture would benefit those suffering from this subluxation deformity.

This report discusses the reduction of severe forward sagittal balance in a geriatric woman with chronic low back pain (LBP) and sciatica using the non-surgical rehabilitation methods of Chiropractic BioPhysics® (CBP®) technique.

CASE REPORT

An 87-year-old female had a primary complaint of chronic low back pain (LBP) and sciatica. She was 172cm in height and weighed 58.5kg. She had had LBP and

disability for the last 30 years. She noted a family history of osteoarthritis and osteoporosis. She had few injuries other than some minor falls. She reported she was always afraid of chiropractors and had never seen one for treatment. The only treatments she had received in the past included periodical massage therapy. Previously, the patient was prescribed Fosamax™ but was concerned about the pharmaceutical side effects and chose not to take it. Other than her back pain, she had no other co-morbidities.

Physical Assessment

All lumbar range of motion (ROM) movements were restricted and painful. Straight leg raise was positive, as was the Valsalva maneuver for pain. The intensity of her LBP was rated as a 7/10 on a numeric pain rating scale (NPRS: 0=no pain; 10=worst pain ever), and her sciatica was rated an 8/10. The sciatic pains were bilateral and were more prominent down the left leg. HRQOL was measured using the SF-36 (5) and revealed lower than normal scores for pain (45); the component summary scores for physical and mental categories were 83 and 84, respectively.

Radiographic Assessment

Radiographic examination was performed (Figure 1) and spinal alignment was measured by digitizing the images in the PostureRay software system (PostureCo. Inc., Trinity, FL, USA). This system used the Harrison posterior tangent method to measure regional and intersegmental sagittal vertebral angles and distances. (6-9)

The patient had extreme anterior sagittal balance, 175.2mm, as measured from the horizontal displacement from C1-S1, or 145.1mm, as measured from T1-S1. The lumbar lordosis L1-L5 measured +13.2° (vs. -40° normal (10,11)), the thoracic kyphosis T1-T12 measured 37.9° (vs. 44° normal (12)), and the cervical lordosis C2-C7 measured -28.4° (vs. normal -29-42° (13-15)). The forward head translation as measured as the horizontal distance from the posterior-superior C2 vertebral body corner to the vertical line drawn from the posterior-inferior C7 vertebral body corner measured 31.4mm (normal <15mm (13,14)).

Treatment

The patient was treated using CBP technique (16-19) methods with the goal of improving the structural alignment of the spine and posture; specifically, to reduce the excessive anterior sagittal balance subluxation. CBP technique incorporates mirror image® (MI®) exercises, spinal adjustments and traction methods, as described elsewhere. (16-19) These structural methods have been shown to offer superior long-term patient outcomes versus traditional or conventional physiotherapeutic

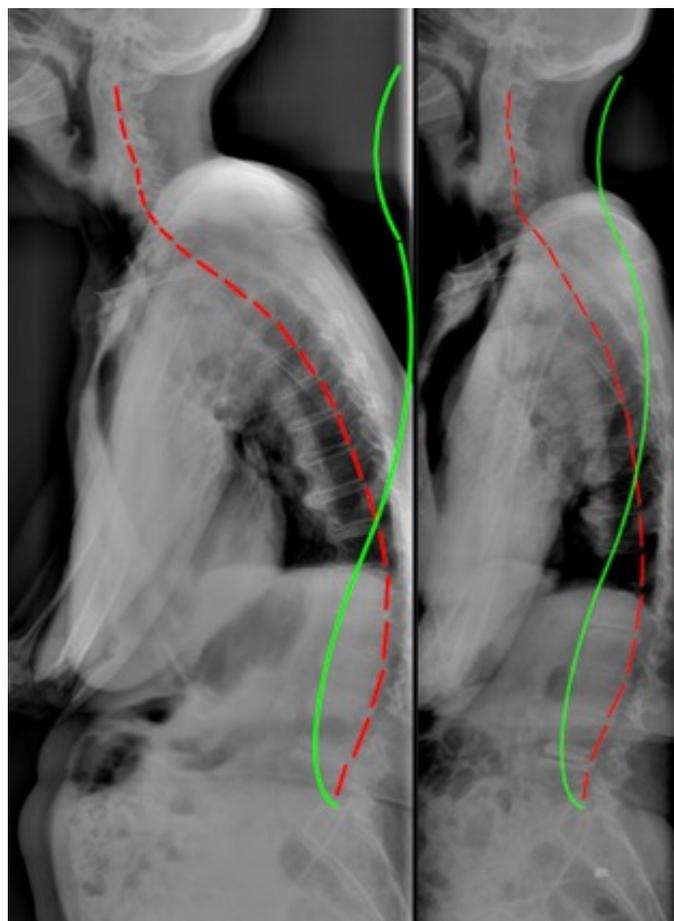


Figure 1. Lateral full spine radiographs. Left: Initial radiograph demonstrating excessive anterior thoracic posture subluxation; Right: Follow-up after 24 treatments after 4 months shows significant improvement in postural parameters. See text for details. Red line highlights patient, green line indicates ideal alignment.

interventions that do not achieve structural correction to the spine. (20,21)

She was treated for 24 sessions at a frequency of 3 times a week for 8 weeks before re-examination. Each treatment consisted of muscular stimulation with the patient laying prone with the headpiece of the treating table elevated, forcing the patient into a MI or extended position. Both the Arthrostim (Impac Inc., Salem, OR) and Impulse (Neuromechanical Innovations Inc., Chandler, AZ, USA) handheld adjusting instruments were used to stimulate the paraspinal muscles of the back. This is thought to act as mechanical massage and to also cause neuromuscular relaxation of the spine stabilizing muscles. (22)

She performed MI traction (Figure 2) in Target Force traction (Saugus, MA, USA). Laying supine, a padded strap was placed at the level of the thoraco-lumbar junction (TL: T12-L1), where it was connected to a spreader bar connected to a winch. An approximate vertical orientation of the pulling strap was set by tilting the frame over the



Figure 2. Sagittal spinal traction set-up. The patient lays supine with a padded strap under the thoraco-lumbar junction that pulled essentially vertically upwards forcing an extension of the thoracic and lumbar spine. A strap across the anterior upper thigh secured the patient on the bench, and a cervical pillow was placed under the neck.

flat bench. She would lay relaxed allowing a tightening to pull on the TL area to a tension considered by her to be 'comfortably tolerable.' The traction was performed up to 18 minutes per session. Initially she began with a flat bench, but progressed to laying on a 6-inch, thick block placed under the thorax with her head supported but in an extended (posteriorly translated) position.

She also performed MI exercises (Figure 3). She stood with her back toward the wall with a block located at the thoraco-lumbar junction. Simultaneously, her pelvis and head were retracted backwards toward the wall. This position is held for a few seconds for each repetition. She worked up to 50 repetitions per visit. This exercise was also prescribed for homecare as was general stretching of the lower extremities.

Outcome

Upon reassessment she reported her sciatica to be alleviated on the right side and 90% resolved on the left side. Lumbar ROM improved and was now pain free. The SLR and Valsalva tests were also now pain free. On average, her sciatica was reported as 0/10 and the LBP as 2/10. The pain score on the SF36 improved to 57.5 (vs. 45), and both component summary scores improved for the physical (85 vs. 83) and mental (100 vs. 84) categories. She enjoyed the treatment protocol and was motivated by feeling relief right from the beginning of treatment.

Radiographic assessment revealed significant reduction in anterior sagittal balance (Fig. 1). The C1-S1 sagittal balance reduced to 66.5mm (vs. 175.2mm) and the T1-S1 sagittal balance reduced to 47.5mm (vs. 145.1mm). The lumbar lordosis improved to be slightly lordotic (-1.6° vs. +13.2°), the thoracic kyphosis increased towards normal

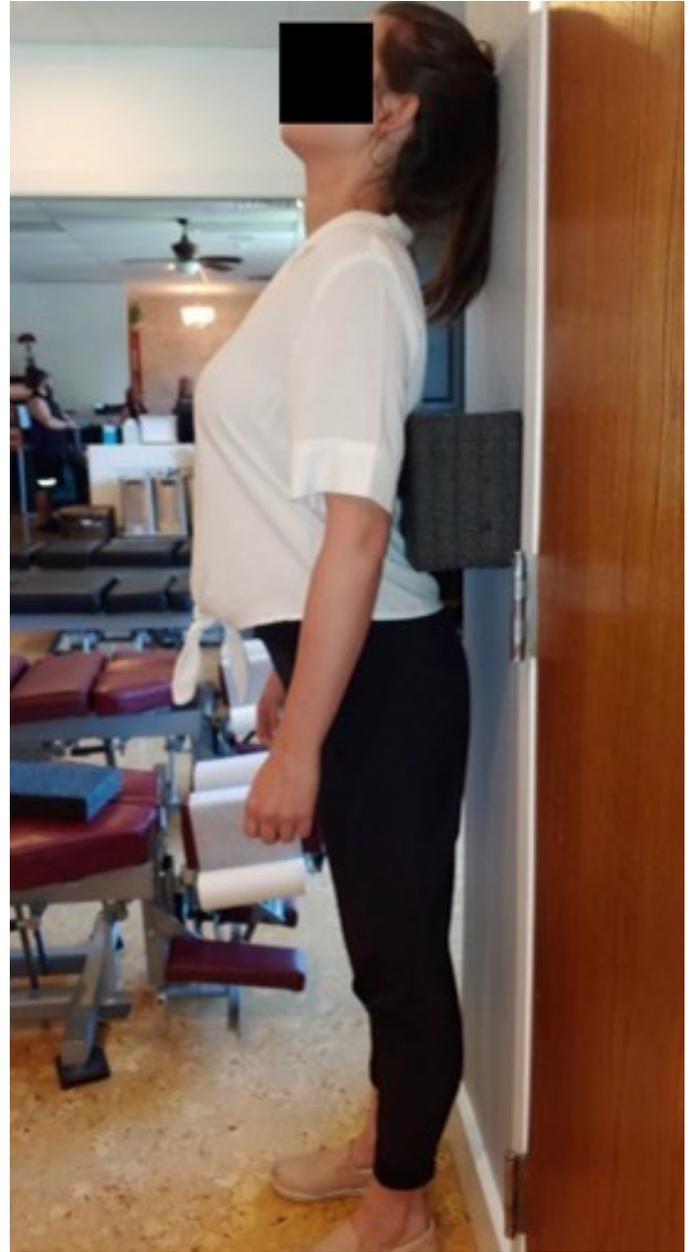


Figure 3. Mirror image exercise. The patient stands with his or her back toward the wall, with a block located at the thoraco-lumbar junction. Simultaneously, the pelvis and head are retracted backwards towards the wall. This position is held for a few seconds for each repetition.

(40.6° vs. 37.9°), and the cervical lordosis increased to within normal limits (37.3° vs. 28.4°). Anterior head translation reduced to 15.5mm (vs. 31.4mm).

DISCUSSION

This case shows the reduction of anterior sagittal balance and improvement of overall posture by non-surgical CBP technique rehabilitation methods in an elderly woman

with significant spine deformity and 30 years of self-reported disability.

Significant ASD often requires surgical intervention. (23) Although surgical reduction of sagittal plane deformity is predictably accomplished, (24,25) there is a high risk of major complications, hospital readmissions, and unplanned reoperations. (25,26) Thus, a non-surgical means to reduce such deformities is urgently needed. Although the evidence for CBP methods is now substantial, (20,21,27-44) there is little support showing the reduction of severe spinal deformities such as presented here.

Although our patient was 87 years old, a dramatic improvement of the spine was accomplished in a short duration. This suggests that the elderly may not be as fragile as one may presume, and as long as the patient does not have outright osteoporosis, aggressive non-surgical spinal traction methods can be employed safely. Other than the main LBP complaint, our patient had no other comorbidities and probably represented an ideal senior patient for these procedures as she also described no major discomfort from the treatments.

Despite CBP spinal rehabilitative protocols taking longer than other methods to treat spinal deformity (i.e. surgery), it is safe and more cost-effective than spine surgery. Costs associated with spine surgery average about 120,000 USD. (45) Alternatively, costs associated with non-surgical CBP spine rehabilitation may accrue up to \$3000-\$5000 dollars; however, this is still less than 5% the cost of spine surgery. (46)

Although there is an increasing evidence base for CBP methods for improving spine alignment, particularly for increasing cervical and lumbar lordosis, (20,21) trials have been limited to treatment durations of 10-12 weeks and to 30-36 treatment sessions. As described by Oakley *et al.* (17) and demonstrated in successful spine deformity cases requiring extended treatment programs, (47,48) to facilitate ideal spine alignment improvements (i.e. return the spine to an ideal/normal alignment), it may take several 'blocks' of corrective care programs which may span several months or more in severe cases. Thus, CBP methods is proving to be both an evidence-based and cost-effective treatment approach for patients with ASD.

The limitations to this case was that it is only 1 patient and cannot be generalized. Further, no long-term follow-up is reported. Due to the dramatic reduction of the gross spinal deformity, further research is recommended to ascertain the efficacy of these methods to treat patients with severe spinal deformity.

CONCLUSION

This case suggests that gross spinal deformities can be reduced by non-surgical methods, and that the improvement in gross deformity correlates with the reduction in chronic symptom burden. Although there is a substantial evidence base for the use of Chiropractic BioPhysics methods, research is needed for its use on patients having severe spinal deformities.

Conflict of interest

JWH and DEH teach chiropractic rehabilitation methods as used in this manuscript; PAO is paid consultant for CBP NonProfit, Inc.; DEH sells products for patient care as used in this manuscript.

REFERENCES

1. Le Huec JC, Saddiki R, Franke J, Rigal J, Aunoble S. Equilibrium of the human body and the gravity line: the basics. *Eur Spine J* 2011;20 Suppl 5:558-563
2. Harrison DE, Harrison DD, Troyanovich SJ, Harmon S. A normal spinal position: It's time to accept the evidence. *J Manipulative Physiol Ther* 2000;23:623-644
3. Pellisé F, Vila-Casademunt A, Ferrer M, *et al.* Impact on health related quality of life of adult spinal deformity (ASD) compared with other chronic conditions. *Eur Spine J* 2015;24:3-11
4. Harrison DE, Colloca CJ, Harrison DD, Janik TJ, Haas JW, Keller TS. Anterior thoracic posture increases thoracolumbar disc loading. *Eur Spine J* 2005;14:234-242
5. Ware JE. SF-36 health survey update. (www.sf-36.org/tools/SF36.shtml) 2004
6. Harrison DE, Harrison DD, Cailliet R, Troyanovich SJ, Janik TJ, Holland B. Cobb method or Harrison posterior tangent method: which to choose for lateral cervical radiographic analysis. *Spine* 2000;25:2072-2078
7. Harrison DE, Cailliet R, Harrison DD, Janik TJ, Holland B. Reliability of centroid, Cobb, and Harrison posterior tangent methods: which to choose for analysis of thoracic kyphosis. *Spine* 2001;26:E227-234
8. Harrison DE, Harrison DD, Cailliet R, Janik TJ, Holland B. Radiographic analysis of lumbar lordosis: centroid, Cobb, TRALL, and Harrison posterior tangent methods. *Spine* 2001;26:E235-242
9. Harrison DE, Holland B, Harrison DD, Janik TJ. Further reliability analysis of the Harrison radiographic line-drawing methods: crossed ICCs for lateral posterior tangents and modified Risser-Ferguson method on AP views. *J Manipulative Physiol Ther* 2002;25:93-98

10. Janik TJ, Harrison DD, Cailliet R, Troyanovich SJ, Harrison DE. Can the sagittal lumbar curvature be closely approximated by an ellipse? *J Orthop Res* 1998;16:766–770
11. Harrison DD, Cailliet R, Janik TJ, Troyanovich SJ, Harrison DE, Holland B. Elliptical modeling of the sagittal lumbar lordosis and segmental rotation angles as a method to discriminate between normal and low back pain subjects. *J Spinal Disord* 1998;11:430–439
12. Harrison DE, Janik TJ, Harrison DD, Cailliet R, Harmon SF. Can the thoracic kyphosis be modeled with a simple geometric shape? The results of circular and elliptical modeling in 80 asymptomatic patients. *J Spinal Disord Tech* 2002;15:213–220
13. Harrison DD, Janik TJ, Troyanovich SJ, Holland B. Comparisons of lordotic cervical spine curvatures to a theoretical ideal model of the static sagittal cervical spine. *Spine* 1996;21:667–675
14. Harrison DD, Harrison DE, Janik TJ *et al.* Modeling of the sagittal cervical spine as a method to discriminate hypolordosis: results of elliptical and circular modeling in 72 asymptomatic subjects, 52 acute neck pain subjects, and 70 chronic neck pain subjects. *Spine* 2004;29:2485–2492
15. McAviney J, Schulz D, Bock R, Harrison DE, Holland B. Determining the relationship between cervical lordosis and neck complaints. *J Manipulative Physiol Ther* 2005;28:187–193
16. Harrison DD, Janik TJ, Harrison GR, Troyanovich S, Harrison DE, Harrison SO. Chiropractic biophysics technique: a linear algebra approach to posture in chiropractic. *J Manipulative Physiol Ther* 1996;19:525–535
17. Oakley PA, Harrison DD, Harrison DE, Haas JW. Evidence-based protocol for structural rehabilitation of the spine and posture: review of clinical biomechanics of posture (CBP) publications. *J Can Chiropr Assoc* 2005;49:270–296
18. Harrison DE, Harrison DD, Haas JW. Structural rehabilitation of the cervical spine. Evanston, WY: Harrison CBP® Seminars, Inc., 2002
19. Harrison DE, Betz JW, Harrison DD, Haas JW, Oakley PA, Meyer DW. CBP® Structural Rehabilitation of the Lumbar Spine: Harrison Chiropractic Biophysics Seminars, Inc., 2007
20. Harrison D, Moustafa I, Oakley P. Systematic review of Chiropractic Biophysics® (CBP®) methods employed in the rehabilitation of cervical lordosis. Proceedings from the 14th International Society on Scoliosis Orthopedic and Rehabilitation Treatment (SOSORT) meeting, San Francisco, April 25-27, 2019. p.156
21. Harrison D, Moustafa I, Oakley P. Systematic review of Chiropractic Biophysics® (CBP®) methods employed in the rehabilitation of lumbar lordosis. Proceedings from the 14th International Society on Scoliosis Orthopedic and Rehabilitation Treatment (SOSORT) meeting, San Francisco, April 25-27, 2019. p.157
22. Colloca CJ, Keller TS. Stiffness and neuromuscular reflex response of the human spine to posteroanterior manipulative thrusts in patients with low back pain. *J Manipulative Physiol Ther* 2001;24:489-500
23. Bae J, Theologis AA, Strom R *et al.* Comparative analysis of 3 surgical strategies for adult spinal deformity with mild to moderate sagittal imbalance. *J Neurosurg Spine* 2018;28:40-49
24. Manwaring JC, Bach K, Ahmadian AA, *et al.* Management of sagittal balance in adult spinal deformity with minimally invasive anterolateral lumbar interbody fusion: a preliminary radiographic study. *J Neurosurg Spine* 2014;20:515-22
25. Mundis GM Jr, Turner JD, Kabirian N *et al.* Anterior Column Realignment has Similar Results to Pedicle Subtraction Osteotomy in Treating Adults with Sagittal Plane Deformity. *World Neurosurg* 2017;105:249-256
26. Pellisé F, Serra-Burriel M, Smith JS, *et al.* Development and validation of risk stratification models for adult spinal deformity surgery. *J Neurosurg Spine* 2019;Jun 28:1-13. doi: 10.3171/2019.3.SPINE181452. [Epub ahead of print]
27. Moustafa IM, Diab AM, Ahmed A, Harrison DE. The efficacy of cervical lordosis rehabilitation for nerve root function, pain, and segmental motion in cervical spondylotic radiculopathy. *PhysioTher* 2011;97 Supplement:846-847
28. Diab AA, Moustafa IM. Rehabilitation for pain and lumbar segmental motion in chronic mechanical low back pain: a randomized trial. *J Manipulative Physiol Ther* 2012;35:246-253
29. Moustafa IM, Diab AA. Extension traction treatment for patients with discogenic lumbosacral radiculopathy: a randomized controlled trial. *Clin Rehabil* 2012;27:51-62
30. Diab AA, Moustafa IM. The efficacy of lumbar extension traction for sagittal alignment in mechanical low back pain. a randomized trial. *J Back Musculoskeletal Rehabil* 2013;26:213-222
31. Moustafa IM. Does improvement towards a normal cervical configuration aid in the management of fibromyalgia. A randomized controlled trial. *Bull Fac Phys Ther Cairo Univ* 2013;18:29-41
32. Moustafa IM, Diab AA, Harrison DE. Does improvement towards a normal cervical sagittal configuration aid in the management of lumbosacral radiculopathy: A randomized controlled trial Proceedings of the 13th World Federation of Chiropractic Biennial Congress / ECU Convention, Athens, Greece, May 13-16, 2015. Paper #184 Mediterranean Region Award Winning Paper

33. Moustafa IM, Diab AA, Taha S, Harrison DE. Addition of a sagittal cervical posture corrective orthotic device to a multimodal rehabilitation program improves short- and long-term outcomes in patients with discogenic cervical radiculopathy. *Arch Phys Med Rehabil* 2016;97:2034-2044
34. Moustafa IM, Diab AA, Harrison DE. The effect of normalizing the sagittal cervical configuration on dizziness, neck pain, and cervicocephalic kinesthetic sensibility: a 1-year randomized controlled study. *Eur J Phys Rehabil Med* 2017;53:57-71
35. Moustafa IM, Diab AAM, Hegazy FA, Harrison DE. Does rehabilitation of cervical lordosis influence sagittal cervical spine flexion extension kinematics in cervical spondylotic radiculopathy subjects? *J Back Musculoskelet Rehabil* 2017;30:937-941
36. Moustafa IM, Diab AAM, Taha S, Harrison DE. Demonstration of central conduction time and neuroplastic changes after cervical lordosis rehabilitation in asymptomatic subjects: A randomized, placebo-controlled trial. *Proceedings of the 14th biennial congress of the World Federation of Chiropractic*, March 15-18, 2017
37. Moustafa IM, Diab AA, Hegazy F, Harrison DE. Does improvement towards a normal cervical sagittal configuration aid in the management of cervical myofascial pain syndrome: a 1- year randomized controlled trial. *BMC Musculoskelet Disord* 2018;19(1):396
38. Moustafa IM, Diab AA, Harrison DE. The effect of normalizing the sagittal cervical configuration for the management of cervicogenic headaches: a 2-year pilot randomized controlled trial. *15th World Federation of Chiropractic Biennial Congress/78th European Chiropractor's Union Convention*, March 20-23, 2019, p.142
39. Harrison DE, Cailliet R, Betz JW *et al.* A non-randomized clinical controlled trial of Harrison mirror image methods for correcting trunk list: a non-randomized clinical control trial. *Eur Spine J* 2005;14:155-162
40. Harrison DE, Harrison DD, Haas JW *et al.* Conservative methods to correct lateral translations of the head: a non-randomized clinical control trial. *J Rehab Res Devel* 2004;41:631-640
41. Harrison DE, Harrison DD, Betz J *et al.* Increasing the cervical lordosis with seated combined extension-compression and transverse load cervical traction with cervical manipulation: non-randomized clinical control trial. *J Manipulative Physiol Ther* 2003;26:139-151
42. Harrison DE, Cailliet R, Harrison DD, Janik TJ, Holland B. New 3-point bending traction method of restoring cervical lordosis combined with cervical manipulation: non-randomized clinical control trial. *Arch Phys Med Rehab* 2002;83:447-453
43. Harrison DE, Cailliet R, Harrison DD, Janik TJ, Holland B. Changes in sagittal lumbar configuration with a new method of extension traction: non-randomized clinical control trial. *Arch Phys Med Rehab* 2002;83:1585-1591
44. Harrison DD, Jackson BL, Troyanovich S, Robertson G, de George D, Barker WF. The efficacy of cervical extension-compression traction combined with diversified manipulation and drop table adjustments in the rehabilitation of cervical lordosis: a pilot study. *J Manipulative Physiol Ther* 1994;17:454-464
45. McCarthy IM, Hostin RA, Ames CP, *et al.* Total hospital costs of surgical treatment for adult spinal deformity: an extended follow-up study. *Spine J* 2014;14:2326-2333
46. Oakley PA, Berry RH, Harrison DE. A structural approach to post-surgical laminectomy: a case study. *J Vertebral Sublux Res* 2007;Mar 19:1-7
47. Ferrantelli JR, Harrison DE, Harrison DD, Stewart D. Conservative treatment of a patient with previously unresponsive whiplash-associated disorders using clinical biomechanics of posture rehabilitation methods. *J Manipulative Physiol Ther* 2005;28:e1-8
48. Harrison DE, Oakley PA. Non-operative correction of flat back syndrome using lumbar extension traction: a CBP® case series of two. *J Phys Ther Sci* 2018;30:1131-1137